

Iowa Department of Human Services

Offer #401-HHS-012: State Resource Centers

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This offer is for:		This offer includes the following appropriations:
X	Status quo existing activity	Glenwood State Resource Center, Woodward State Resource Center, Conner Plan, General Administration

Result(s) Addressed:

- Provide Intermediate Care Facility treatment services to children and adults with mental retardation and other related conditions
- Retain certification from the Department of Inspections and Appeals for meeting Medicaid ICF/MR standards
- Facilitate the development of and effective transition of persons from the State Resource Centers to community based services
- Provide community living services
- Implement United States Department of Justice Consent Decree

Program Description:

Who:

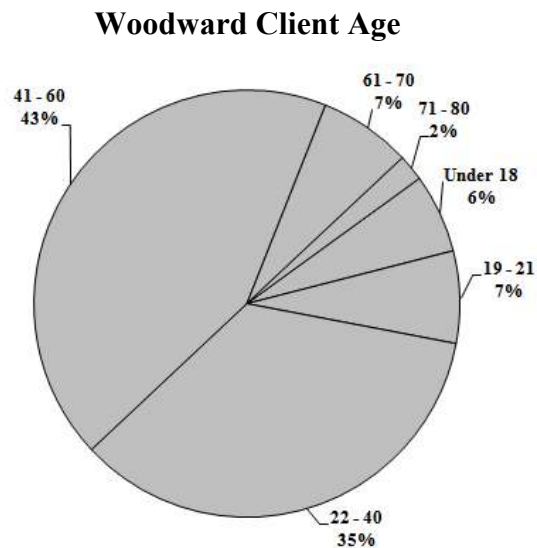
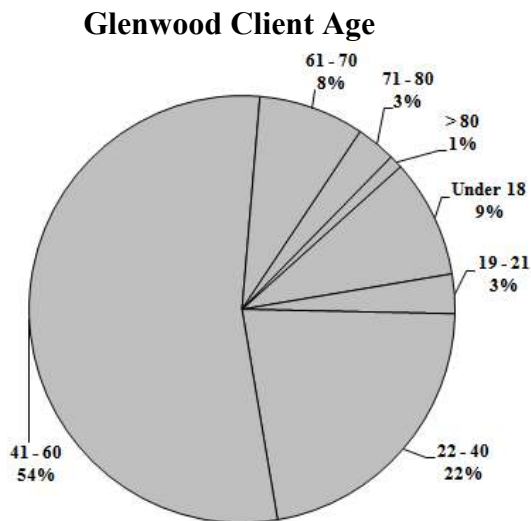
The two State Resource Centers (SRCs) – located in Glenwood and Woodward – provide a variety of treatment and outreach services to individuals with mental retardation or other developmental disabilities. The facilities assist clients to reach their individual goals and return to their communities.

The two State Resource Centers utilize an admission review team that works with each applicant to ensure that any potential appropriate alternative placements have been considered and ruled out prior to consideration for admission. Individuals are admitted only after DHS determines there are no appropriate community based alternatives available. This determination is required under the terms of the legally binding 1994 Conner Consent Decree. There are also individuals who are committed involuntarily under a court order.

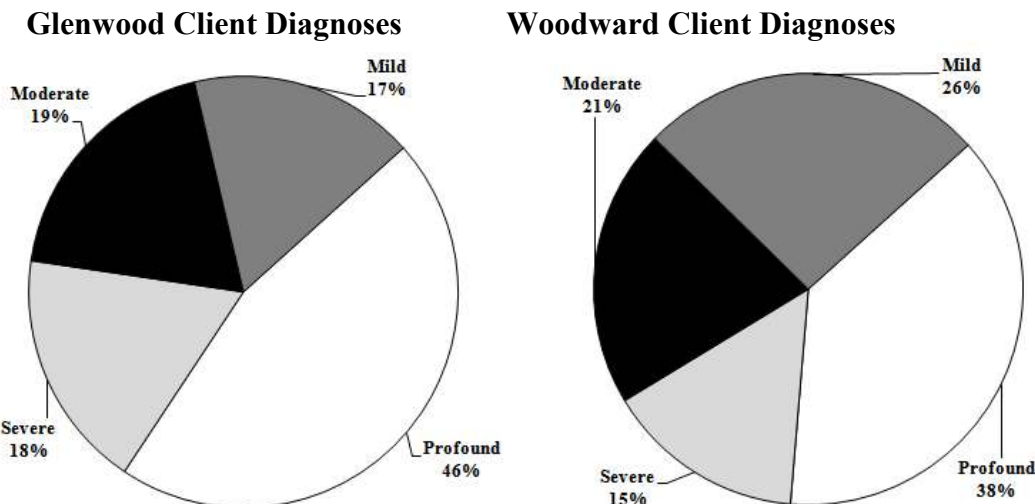
The majority of the State Resource Center clients are admitted because of significant behavioral or medical issues: 75% of clients have an additional diagnosis of mental illness, and since 2004, over 93% of clients admitted were prescribed at least one psychotropic medication to treat a mental illness at the time of admission.

During SFY 2009, of the 575 persons served in the ICF/MR:

- 88% were voluntarily admitted and 12% were involuntarily committed.
- 75% had a dual diagnosis of mental illness/mental retardation.
 - Glenwood Resource Center has 70% with a dual diagnosis.
 - Woodward Resource Center has 82% with a dual diagnosis.
- 68% of the clients were placed in paying jobs, either off or on-campus.
- Age ranges of persons served include:
 - 8% under the age of 18
 - 5% between the ages of 19 and 21
 - 27% between the ages of 22 and 40
 - 49% between the ages of 41 and 60
 - 8% between the ages of 61 and 70
 - 3% between the ages of 71 and 80
 - <1% 81 years of age and older



- Diagnoses of mental retardation for persons served include:
 - 43% Profound
 - 17% Severe
 - 19% Moderate
 - 20% Mild
 - 1% Other



The level of mental retardation diagnosis is a small part of understanding the complex treatment needs of the State Resource Center clients. In addition to the previously mentioned high percentage of clients with co-occurring diagnoses of mental retardation and mental illness, other challenges of note during SFY 2009 were:

At Glenwood:

- 54% of the clients also had a seizure disorder.
- 78% were at risk for physical nutritional management factors and of these, over half are at high or critical risk of aspiration (getting food or liquids into the lungs), malnutrition and dehydration.

At Woodward:

- 34% of the clients also had a seizure disorder.
- 20% were at high or critical risk of aspiration (getting food or liquids into the lungs), malnutrition and dehydration.
- 43% were at high risk for sustaining injuries related to behavior.

What:

The two State Resource Centers are licensed as Intermediate Care Facilities for Mental Retardation (ICF/MR) and are the largest of Iowa's 139 ICF/MR facilities. The State Resource Centers provide a full range of treatment and habilitation services. In SFY 2009, the State Resource Centers served 575 persons or 25% of the 2,255 persons served in Iowa's ICF/MR facilities. The total SFY 2009

expenditures of \$278.4 million for Iowa's ICF/MRs were paid through Federal, state and county funds. Of this amount, the State Resource Centers were paid \$121.7 million.

In addition to providing ICF/MR services, the State Resource Centers provide supported community living services, respite and supported employment under the Iowa Medicaid Home and Community Based Services (HCBS) Waiver for persons with Intellectual Disabilities.

The SRCs operate a total of 21 HCBS Waiver homes in their surrounding communities. Glenwood operates 9 homes currently serving 35 individuals and Woodward operates 12 homes currently serving 39 individuals.

In SFY 2009, the SRCs provided services to the following number of individuals:

Type of Service	GRC	WRC	Total
ICF/MR Residential	342	233	575
Community Programs			
Respite	20	13	33
Supported Community Living	37	42	79
Supported Employment	28	37	65
Community Outreach	10	14	24
Time-Limited Assessment	8	2	10

The State Resource Centers actively work with clients, parents/guardians and community providers for successful transition of clients into community services. The SRCs are actively participating in the Department's Money Follows the Person Program (MFP) which provides Federal grant funding for services to assist the transitioning of individuals living in ICF/MR facilities of 4+ beds into community based programs.

The following table reflects the number of admissions and discharges as well as the settings to which persons were discharged in SFY 2009.

	GRC	WRC	Total
Admissions	21	7	28
Discharges	29	18	47

Discharges to:	GRC	WRC	Total
Parents / Relative Home	4	1	5
Home & Comm. Based Waiver	7	12	19
Comm. ICF/MR	4	3	7
Comm. RCF/MR	2	0	2
MHI	2	0	2
Nursing Home	2	0	2
Death	8	2	10
Total:	29	18	47

At the end of SFY 2010, the two State Resource Centers are projected to have 499 clients (297 at Glenwood and 202 at Woodward). This is a decrease of 24 persons from SFY 2009 and a 26.8% decrease from SFY 2003 when there were 682 clients.

How:

Service Delivery

The State Resource Centers will have approximately 1753 staff (FTEs) in SFY 2010 and salaries of approximately \$117 million.

Service Support

Each facility receives the following types of technical assistance and guidance from staff in General Administration regarding:

- Corporate Oversight
 - Approval and oversight of service delivery and other operational goals
- Program Support
 - Operational policy and procedures to assure Code mandates, best practices and client rights are addressed
 - Development, review and interpretation of Iowa Administrative Code rules
- Technical assistance and support
 - Operational and client issues
 - Meeting accreditation or licensure standards and corrective action plans
 - Media and public relations and response to constituent concerns
 - Development and implementation of contracts
- Financial Accountability
 - Development and implementation of annual operating budgets, monthly financial statements and projections, accounting systems and audit coordination
- Infrastructure
 - Approval and oversight of capital, major maintenance, and Parks and Institutional Road projects
 - Approval and processing emergency fund repairs under Iowa Code 29C.20
- Legal Services
 - Interpretation of Court orders, Interstate Compact, legal mandates regarding specific situations
 - Legal services and representation
- Information Technology
 - Coordination and oversight of the development of and use of technology to meet campus and client needs
- Communication
 - Monitoring and analysis of impact of proposed legislation
 - Preparation of responses to information requests from Executive Branch, Legislative Branch and other interested parties

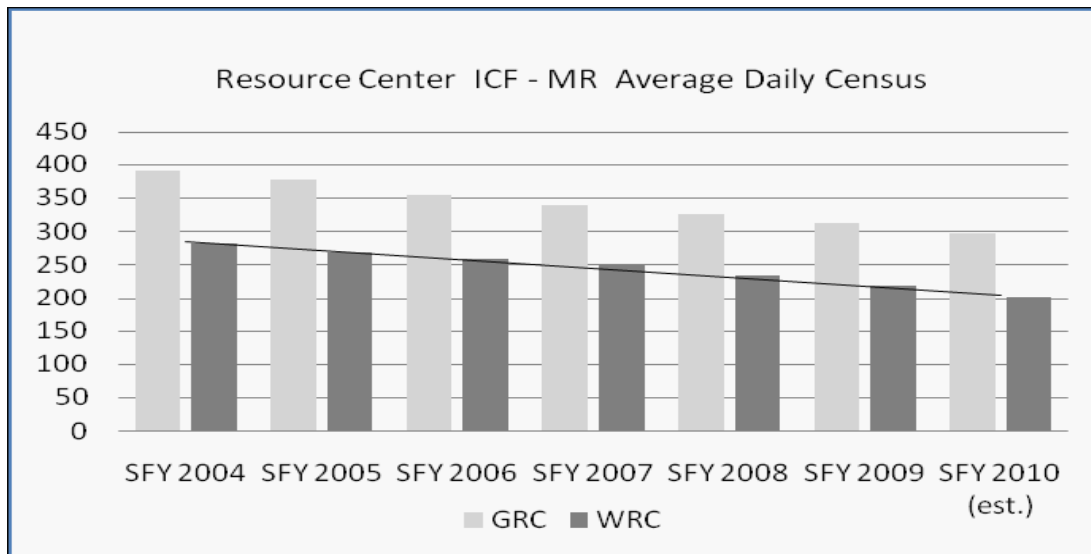
American Recovery and Reinvestment Act Impact

The State Resource Centers projected to earn a total of \$5,453,289 in Federal ARRA funds in SFY 2010. The Legislature reduced the SRC state appropriation by \$3,186,704 and transferred these dollars to the Human Services Reinvestment Fund. The remaining \$2,266,585 allowed the SRCs to maintain services, provide support to clients, and retain 34 FTEs during SFY 2010.

Offer Description:

Today's Activities and Results:

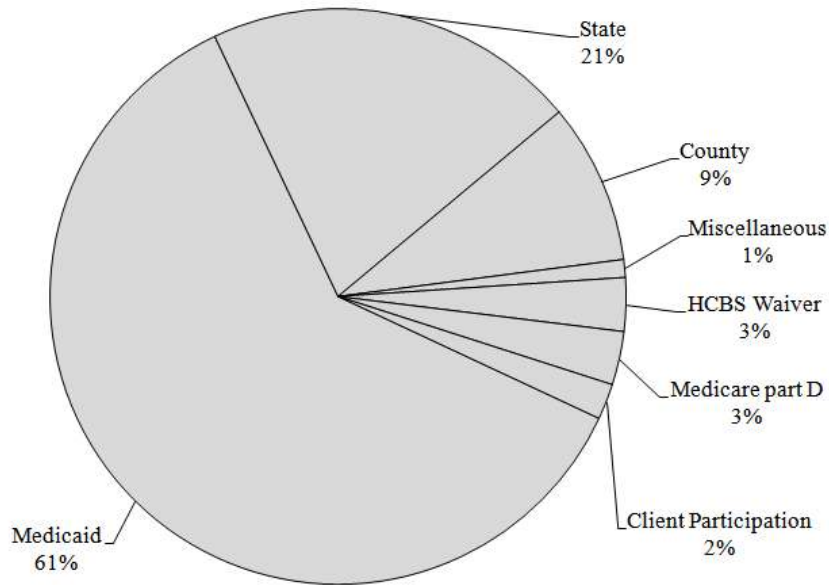
- Provide access to quality treatment services for children and adults with mental retardation and other related conditions, who are unable to be effectively served in the community. The goal of treatment services is to develop necessary skills so that persons can be served in the community.
- Retain certification from the Department of Inspections and Appeals for meeting Medicaid ICF/MR standards.
- Provide Time Limited Assessments to assist community based providers in developing specialized skills to meet client needs.
- Facilitate the development of and effective transition for persons from the SRCs to community based services, as required by the Conner Consent Decree through collaboration with the University of Iowa Center for Disabilities and Development.
- Address concerns raised by the United States Department of Justice in its investigation of potential violations of client civil rights under the Civil Rights for Institutionalized Persons Act (CRIPA) and continue to meet the finalized consent decree. Currently Woodward Resource Center has achieved substantial compliance in all areas and will be monitored by DOJ for continued compliance in one area until 2010. Glenwood Resource Center has achieved substantial compliance in nearly all areas and will be monitored by DOJ until full compliance has been achieved.
- Continue to place persons into appropriate community-based settings and reduce up to 24 beds from the ICF/MR during SFY 2010.



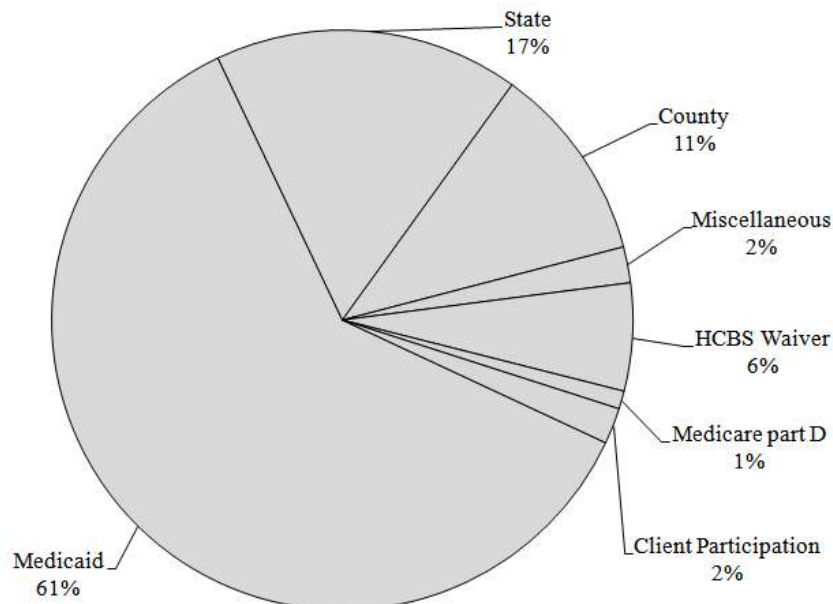
The State Resource Centers are uniquely funded using net budgeting. Under net budgeting the annual state appropriation consists only of the estimated state share of the total facility budget. This state share is the non-Federal portion of the per diem for all children and those adults without a county of legal settlement. In addition, the county rate is capped in Chapter 222 of the Code of Iowa and the state share covers the difference between that capped rate and the per diem for other adult clients. The facility then has the responsibility to meet the remainder of the projected budget need by billing Medicaid, counties and other insurers.

SFY 2010 Projected Sources of Revenue

Glenwood



Woodward



Offer Justification:**Legal Requirements:**

The two State Resource Centers are established by Chapter 222 of the Code of Iowa. The Code requires them to serve as the State's regional resource centers for the purpose of providing treatment, training, instruction, care, habilitation, and support of people with mental retardation or other disabilities. There are a variety of Federal legal requirements applicable to these facilities, including the Conner Consent Decree and the United States Department of Justice Consent Decree.

Rationale:

This offer is necessary for treatment services for a projected beginning SFY 2011 census of 499 clients who require ICF/MR level of care and persons who can benefit from waiver services. In order to carry out the terms of United States Department of Justice Consent Decree relating to the improvement of health and behavioral health care, treatment planning and successful transition of clients into appropriate community settings, this offer assumes a continued reduction in the number of persons served in both facilities by up to 24 clients during the fiscal year.

This offer enables the Department of Human Services to receive Federal funding that comes with being certified.

Results:

Result:	SFY 2009 Actual Level	SFY 2010 Projected Level	SFY 2011 Offer Level
% of clients who earn wages through on or off-campus employment	68%	70%	70%
% of discharged clients who remain in the community for at least 180 days	96%	96%	96%
Number of clients transitioning to the community using MFP	17	30	34

Sustaining service delivery assumes the level of funding requested in the offer as well as full funding of salary adjustment. If salary adjustment is not received for SFY 2011, this would be the equivalent of the loss of an estimated 0.25 General Admin and 71.87 Facility FTEs. If funding is insufficient in either area, results to be achieved will be modified to reflect the impact.